

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE | 0

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C. Healion  
C. Healion

Applicant : Steven T. Jaffe, et al.  
Application No. : 09/438,730  
Filed : November 3, 1999  
Title : DUAL MODE QAM/VSB RECEIVER

Grp./Div. : 2734  
Examiner : Tse, Y.

Docket No. : 33754/DWR/B600

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**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
WITH PETITION FEE  
UNDER 37 CFR §§ 1.97(c) and 1.17(p)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
October 10, 2000

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully petitioned and requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

**Application No. 09/433,730**

Enclosed is the petition fee of \$240 as required by 37 CFR 1.97(c) and 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By Derrick W. Reed  
Derrick W. Reed  
Reg. No. 40,138  
626/795-9900

DWR/cah  
Enclosures: Check \$240  
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PTO-1449, w/references  
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